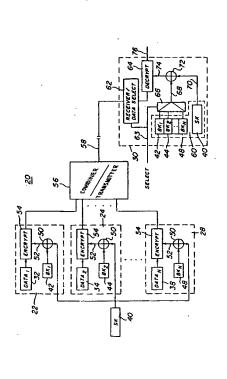
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(54) Title: METHODS AND APPARATUS FOR UNIQUELY END ENCRYPTING DATA



(57) Abstract

In a data transmission system comprising a plurality N of transmission sites (22, 24, 28) and at least one reception site (30) a set of data at each normalission site is uniquely to excrepted by (a) providing each transmission site and switch the broadcast tey (42, 44, 48) unique to that transmission site and a system key (84) had it the same for all transmission sites, the system key (84) bad it the same for all transmission sites, the system key (84) comprising a plurality S of this and each of the broadcast key comprising a unique plurality B of bits, wherein B is less than S; (b) convolving is a preferential manner at each transmission site (22, 24, 23) but system key and the broadcast key unique (42, 44, 48) to that transmission site to generate a unique plurality of the tast transmission site. (c) encrypting the set of data at each transmission site to generate data encryption key (42, 44, 48) generated at that site.

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METHODS AND APPARATUS FOR UNIQUELY END ENCRYPTING DATA

Field of the Invention

This invention relates generally to data encryption, and more particularly, to methods and apparatus for uniquely encrypting data at a plurality of transmission sites for transmission to and subsequent decryption at a reception site. With the methods and apparatus of the

reception site: "It in the methods and apparatus of the 10 present invention, encryption key storage requirements at the reception site are reduced.

Background of the Invention

Techniques for protecting digital information against unauthorized access are becoming increasingly

- 15 important as more and more communications systems are employing digital transmission techniques. The subscription television industry, for example, is beginning to move toward all digital transmission systems or hybrid systems that employ both digital and analog transmission. Preventing
- 20 unauthorized access to digital television signals is a major concern in the subscription television industry.

 Cryptography can provide a very secure means of preventing unauthorized access. The art of cryptography is well known. "Stream-cipher cryptography" is particularly.
 - well known. "Stream-cipher cryptography" is particularly 25 well suited for encrypting digital data to prevent unauthorized access. Figure 1 shows an exemplary system 10 that employs stream-cipher cryptography. The system 10 comprises a data encryptor 12 for encrypting a clear data

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stream, a data decryptor 18 for subsequently decrypting the encrypted data stream, and a transmission medium 11 for transmitting the encrypted data stream from the encryptor 12 to the decryptor 18. The encryptors 12, 18 are commonly referred to in the art as "stream-ciphers."

As shown, data encryptor 12 comprises a

As snown, data encryptor 1.2 comprises a pseudorandom bit generator 14 and a data combiner 16. At an input thereof, the pseudorandom bit generator 14 receives a unique encryption key K. Using the encryption key K as a

- 10 "seed" value, the pseudorandom bit generator 14 generates a pseudorandom binary sequence commonly referred to as a "key stream". The key stream is then fed to one input of the combiner 16 which also receives, at another input thereof, the clear data stream. The combiner 16 combines or mixes the clear data stream with the key stream on a bit-by-bit basis using bitwise modulo-2 addition; that is, the combiner 16
- .5 clear data stream with the key stream on a bit-by-bit basis using bitwise modulo-2 addition; that is, the combiner 16 comprises an exclusive-OR (XOR) gate. Combining the clear data stream with the key stream in this manner effectively encrypts the clear data stream. As shown, the encrypted data
- 20 stream may then be transmitted via any suitable transmission medium 11.

A decryptor 18 operates to recover the clear data stream from the encrypted data stream. As shown, the decryptor 18 comprises a pseudorandom bit generator 14' and a decryptor 16' and the stream of t

- decryptor 16'. As those skilled in the art know, the decryptor 18 must be provided with the same encryption key K that was used to encrypt the data at the encryptor 12, and pseudorandom bit generator 14' must be identical to pseudorandom bit generator 14 so that with that same
- 30 encryption key K, the pseudorandom bit generator 14' can reproduce the key stream generated at the encryptor 12. The reproduced key stream and the encrypted data stream are then provided to respective inputs of the de-combiner 16'. Decombiner 16' must operate in a reciprocal or complimentary
- 35 manner with respect to the combiner 16 in the encryptor 12 so that the encryption process is effectively reversed. As those skilled in the art know, when combiner 16 performs bit-

, (1)

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wise modulo-2 addition (i.e., XOR-ing), de-combiner 16' may be identical to combiner 16 since the XOR function is symmetrical. Thus, XOR-ing the encrypted data stream with the reproduced key stream effectively reverses the encryption process. The clear data stream is then output from the de-

As can be appreciated from the foregoing discussion, the system 10 cannot function unless both the encryptor 12 and decryptor 18 are each provided with the

- 10 unique encryption key K. Security depends, therefore, on keeping the encryption key secret. Such a system is commonly referred to as a "private key" encryption system. One way to enhance security in a "private key" encryption system is to periodically change the encryption key. Security also
- the pseudorandom bit generators. A system generated by the pseudorandom bit generators. A system developer must select a pseudorandom bit generation algorithm that cannot feasibly be deduced by comparing the clear data stream with the encrypted data stream. Generally, greater randomness can be achieved with an algorithm that uses large encryption keys
 - (i.e., more bits) rather than shorter keys. In most applications, an encryptor is employed at a
 - transmission site to encrypt a data stream for transmission to a remote reception site. The reception site, of course, sust employ a decryptor in order to recover the clear data from the encrypted data. Because the reception site is remote from the transmission site, a secure means must be employed for providing the reception site with the
- appropriate encryption key. For example, the encryption key 30 itself may be encrypted and then transmitted to the reception site. Once the key is received at the reception site, it must be stored in a secure memory device at the reception site. Alternatively, the key may be pre-stored in the secure memory.
- 35 Communication systems often comprise a plurality of different transmission sites that each transmit a set of data to one or more reception sites. For example, in a

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subscription television system, a plurality of "programmers" each provide subscription television services (i.e., programs) to remote subscribers. Each programmer typically will want to employ its own unique encryption keys to encrypt

- 5 data at its respective transmission site. When the system contains a large number of transmission sites, providing each transmission site with a unique encryption key may become prohibitive. As mentioned above, it is desirable to employ large encryption keys in order to increase the cryptographic
- 10 strength of the system. A problem arises because each of the unique encryption keys must be stored in a secure memory at a reception site so that a decryptor at the reception site is able to decrypt data received from any one of the transmission sites. As those skilled in the art know, secure
 - 15 memory is expensive and secure memory devices typically have limited storage capacities. There is a need, therefore, for a system and method that allows a large number of transmission sites to each uniquely encrypt data, but which reduces the amount of memory needed at a reception site to
- 20 store encryption keys. The present invention satisfies this need.

Summary of the Invention

According to one embodiment of the present

- invention, a set of data is uniquely encrypted at each of a plurality N of transmission sites for transmission to and subsequent decryption at at least one reception site. Each of the N transmission sites is provided with a broadcast key unique to that transmission site and a system key that is the same for all transmission sites. The system key comprises a
 - 10 plurality S of bits and each of the N broadcast keys comprises a unique plurality B of bits, wherein B is less than S. At each transmission site, the system key and the broadcast key unique to that transmission site are convolved in a predetermined manner to generate a unique data
- 35 encryption key for that transmission site. The unique encryption key generated at each transmission site comprises

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a third number E of bits, E being at least greater than B. Preferably, E is greater than or equal to S. At each transmission site, a set of data is then encrypted with the unique data encryption key generated at that site. The sets

- s of data uniquely encrypted at each transmission site are then transmitted to the reception site. There is stored, in a memory at the reception site, the system key and each of the broadcast keys to enable a selected one of the encrypted sets of data to be decrypted at the reception site. The memory
 - 10 capacity necessary to store the system key and the broadcast keys at the reception site is no greater than $((N \times B) + S)$ bits.

At the reception site, the encrypted set of data transmitted from a selected one of the transmission sites is

- 15 received. According to the present invention, the system key and the broadcast key unique to the selected transmission site are retrieved from the memory at the reception site. Convolving means are provided at the reception site for convolving the retrieved system key and retrieved broadcast
 - 20 key, in the same predetermined manner as was performed at the selected transmission site, in order to reproduce the unique data encryption key employed at the selected transmission site to encrypt the received set of data. The received encrypted set of data may then be decrypted with the
 - 25 reproduced encryption key.

 The reception site may be authorized to receive data from only a selected group of transmission sites. In such a case, the system key and only the broadcast keys of
- the selected group are stored in the memory at the reception 30 site. Cryptographic strength may be enhanced by periodically changing the system key and or unique broadcast keys provided to each transmission site and stored in the memory at the reception site.

As applied in the context of a subscription 35 television system, the transmission sites comprise programmer sites in the subscription television system that each transmit program data and encrypt the program data with

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locally generated program keys. In this application of the present invention, the program keys locally generated at the programmer sites are encrypted in accordance with the methods and apparatus of the present invention. The reception site

5 in a subscription television system may be either a cable head-end installation or a subscriber location, such as a cable subscriber or a direct broadcast satellite subscriber.

In an alternate embodiment of the present invention, a plurality N of data sets at a single

- 10 transmission site are each uniquely encrypted at that site for transmission to the reception site. In this embodiment, each of the N data sets is provided with a broadcast key that is unique to that data set and a system key that is the same for each data set. The following steps are then performed
- 5 for each data set: (1) convolving in a predetermined manner the system key and the broadcast key unique to that data set to generate a unique data encryption key for that data set; (2) encrypting the data set with the unique data encryption key generated for that data set; and (3) transmitting the
- 20 uniquely encrypted data set to the reception site. As in the previous embodiment, there is stored, in a memory at the reception site, the system key and each of said broadcast keys. These keys are necessary at the reception site to enable a selected one of the encrypted data sets to be
 - 25 decrypted at the reception site. Again, the memory capacity necessary to store the system key and the broadcast keys at the reception site is no greater than ((N x B) + S) bits.

Further details and features of the present invention will become evident hereinafter.

30. Brief Description of the Drawings

The foregoing summary, as well as the following detailed description of the preferred embodiments, is better understood when read in conjunction with the appended drawings. For the purpose of illustrating the invention,

35 there is shown in the drawings embodiments that are presently preferred, it being understood, however, that the invention

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is not limited to the specific methods and instrumentalities disclosed. In the drawings:

transmission system operating in accordance with a preferred Figure 1 is a block diagram of a prior art data embodiment of the encrypting and decrypting methods and transmission system employing cryptographic techniques; Figure 2 shows a block diagram of a data

present invention to a subscription television system; and Figures 3 and 4 illustrate an application of the 10

apparatus of the present invention;

site operating in accordance with an alternate embodiment of Figure 5 is a block diagram of a data transmission the present invention.

Detailed Description of the Invention

and apparatus of the present invention, unique encryption at allows a plurality N of transmission sites to each uniquely reception site. Most importantly, however, with the method In a preferred embodiment, the present invention encrypt a set of data for transmission to at least one 15

for storing encryption keys. Although the present invention significant amount of storage capacity at the reception site each transmission site can be achieved without requiring a independent encryption of data at each of a plurality of may be employed in any communication system requiring 20

pending U.S. patent application, serial no. 07/968,846, filed system. An exemplary subscription television system in which applicability in the context of a subscription television the present invention may be employed is described in cotransmission sites, the present invention has particular 25

Referring to the drawings wherein like numerals Transmitting a Plurality of Digital Services" (Attorney October 30, 1992, entitled "System and Method for Docket SA-0019A). 30

indicate like elements throughout, there is shown in Figure 2

plurality N of transmission sites 22, 24, 28 and at least one

a functional block diagram of a system 20 comprising a

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system key 40 is provided that is common to each transmission further provided with a respective broadcast key 42, 44, 48 reception site 30. According to the present invention, a site 22, 24, 28. Each transmission site 22, 24, 28 is

- key "BK" comprises a second number of bits, B. According to an important aspect of the present invention, B is less than "SK" comprises a first number of bits, S, and each broadcast For example, the system key may comprise 56 bits (S=56), that is unique to that transmission site. The system key
- unique to that site. For example, both the system key and whereas each broadcast key may comprise only 8 bits (B=8). transmission site with the system key 40 and broadcast key Any means may be employed for providing each broadcast key may be transmitted to each respective 10
- control center, or one or both of the keys may be pre-stored transmission site from a remote location, such as a national transmission sites. In the claims, the term "providing" has broadcast keys may be generated locally at the respective at the transmission sites. Alternatively, at least the 15
 - apparatus for encrypting a set of data, e.g. data 32, 34 and Each transmission site 22, 24, 28 is provided with been used to cover all such possibilities. 20
 - means 50 for convolving the system key and the broadcast key unique to that site in a predetermined manner to generate a unique data encryption key for that site. As those skilled apparatus at each transmission site 22, 24, 28 comprises 38 respectively. As shown in Figure 2, the encrypting in the art can appreciate, there are infinite ways to convolve the system key and broadcast key at each 25
- that site. For example, selected bits of the system key may transmission site to generate the unique encryption key at be exclusive-OR'd with selected bits of the broadcast key. Alternatively, a much more complex convolution may be performed by, for example, passing the system key and 30
- in the claims, the term "convolving" is intended to cover all broadcast keys through a complex one-way function. As used such possibilities. 35

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According to the present invention, the unique data encryption keys generated at each transmission site 22, 24, 28 by the respective convolving means 50 each comprise a third number E of bits. Preferably, E is greater than or

- 5 equal to S. As mentioned in the background, with larger encryption keys, greater "randomness" can be achieved during encryption of a clear data stream thereby increasing the cryptographic strength of the system. As those skilled in the art will appreciate, convolving the system key with a
- 10 unique broadcast key at each transmission site provides each transmission site with a unique encryption key that is larger than the unique broadcast key alone. As will be described hereinafter, according to the present invention, only the system key and the broadcast keys need be provided at a 15 reception site for decryption purposes. Consequently, the
 - 15 reception site for decryption purposes. Consequently, the memory capacity necessary to store the system key and each of the broadcast keys at the reception site is no greater than ((N x B) + S).
- Preferably, the convolving means 50 at each site 20 22, 24, 28 are identical; that is, they each convolve the system key with a respective broadcast key in the same predetermined manner. At each transmission site 22, 24, 28, the unique data encryption key generated by the convolving means 50 at that site is fed to a respective encryptor 54. As with
 - the means 50 employed at each transmission site, 25, 24, 28, the encryptors 54 employed at the respective transmission sites preferably function identically. Bach encryptor 54 encrypts a respective set of data (e.g. data 32, 34 or 38) with the unique data encryption key generated by the
 - 30 convolving means 50 at the respective transmission site 22, 24, 28. As those skilled in the art know, there are many ways to implement a key-based encryptor, and the encryptor 54 at each transmission site 22, 24, 28 is not limited to any one implementation. For example, each encryptor 54 may be a
- stream cipher, such as encryptor 12 of Figure 1. In such case, the pseudorandom bit generator in each encryptor 54 preferably will be identical. Alternatively, encryptor 54

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may implement the well known Digital Encryption Standard (DES) algorithm. It will be appreciated by those skilled in the art that whatever encryption function the encryptors 54 implement, each encryptor 54 defines a one-way function.

- Still referring to Figure 2, the output of each encryptor 54 is coupled to a combiner/transmitter 56.

 Combiner/transmitter 56 operates to combine the encrypted sets of data output from each encryptor 54 and to transmit the combined data to a reception site, such as reception site
 - 10 30. Combiner/transmitter 56 may combine the encrypted sets of data in any manner suitable for a given application. For example, combiner 56 may perform frequency-division multiplexing. Alternatively, combiner 56 may combine the encrypted data sets using a time-division multiplexing
- the scheme. As those skilled in the art will appreciate, the function of combiner/transmitter 56 will depend upon a particular application of the present invention. In some applications, the combiner/transmitter 56 may be remotely located from each transmission site 22, 24, 28. In such
- respective encrypted data set to that remote site. The encrypted sets of data must then be combined at the remote site and re-transmitted from that remote site to a reception site. For example, in a subscription television system,
 - transmitted data is often individually transmitted from each transmitter location, via satellite, to a cable head-end installation. The cable head-end installation then operates to combine the individual encypted data streams into a single cable television signal for transmission to one or more
- 30 reception sites. It is understood that the system 20 does not require that all of the transmission sites transmit their respective encrypted data at the same time; at any given time, one or more transmission sites may be idle.
- After combining, the encrypted sets of data are 35 transmitted via a suitable medium 58 to a reception site, such as reception site 30. The system key 40 and each of the broadcast keys 42, 44, 48 are stored in a memory 60 at the

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Any method may be employed for supplying the example, the system key and broadcast keys may be pre-stored in the memory 60. Alternatively, the system key and/or memory 60 with the system key and broadcast keys. For reception site.

- site, each transmission site may be responsible for providing broadcast keys may be transmitted to reception sites from a remote location, such as a national control center. If the broadcast keys are generated locally at each transmission its respective broadcast key to the memory 60 by, for Ŋ
- into the memory 60. As mentioned above, the memory capacity broadcast key to the reception site for automatic insertion necessary to store the system key and each of the broadcast keys at a reception site is no greater than ($(N \times B) + S$). example, performing a field installation at the reception site or alternatively, by transmitting its respective 10 15
 - a selected transmission site to be decrypted at the reception reception site enables an encrypted data set transmitted from As described hereinafter, storage of these keys at a
- the received sets of data. As with the combiner/transmitter selecting one of these received sets of data for decryption. comprises a receiver 62 for receiving the encrypted sets of As shown, a select line 63 controls the selection of one of Decrypting apparatus at the reception site 30 data transmitted from each transmission site and for 20 25
 - frequency-division multiplexing is employed, the receiver 62 56, the detailed operation of receiver 62 depends upon the particular application of the present invention. When functions as a tuner to receive an encrypted data set
 - multiplexing is employed at the combiner/transmitter 56, the combined, the receiver 62 operates accordingly to receive an receiver 62 operates to demultiplex the incoming data signal and to retrieve a selected set of data from the multiplexed data stream. Thus, however the encrypted sets of data are transmitted from a selected transmission site on a given carrier frequency. Alternatively, when time-division 30 35

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encrypted set of data transmitted from a selected one of the transmission sites 22, 24, 28. The decrypting apparatus at the reception site

- further comprises means for retrieving the system key 40 and For the broadcast key unique to the selected transmission site receiver 62, then the system key "SK" and broadcast key 42 (e.g., broadcast key 42, 44 or 48) from the memory 30. transmission site 22 is selected for reception at the example, if the encrypted data set transmitted from
- retrieval means may be employed, such as, for example, direct memory addressing. In Figure 2, the retrieving means is accepts the user's selection via line 63 and outputs the functionally depicted as an N-to-1 multiplexer 66 which (BK_1) are retrieved from the memory 30. Any suitable appropriate broadcast key on line 68. 2

key are supplied to convolver means 72 via lines 70 and 68, The retrieved system key and retrieved broadcast respectively. Convolver means 72 convolves the retrieved system key and retrieved broadcast key to reproduce the

- unique encryption key employed at the selected transmission appreciated, therefore, convolver means 72 must function in the same predetermined manner as the convolver means 50 at the selected transmission site. As mentioned above, the site to encrypt the received set of data. As can be 20
- convolver means 50 at each transmission site are preferably however, the convolver means 50 at one or more transmission sites are different, then the decrypting apparatus at the identical. However, this does not have to be the case. reception site 30 must include a corresponding convolver 25
- each transmission site simplifies the decrypting apparatus at the reception site 30 in that a single convolver means 72 may the various transmission sites. As those skilled in the art means for each of the different convolver means employed at will appreciate, employing identical convolver means 50 at be employed. 30 35

decryptor 64 that accepts the received encrypted set of data The decrypting apparatus further comprises a

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encryption key. The decrypted set of data is output via line and the reproduced encryption key at respective inputs and 76 for use at the reception site as desired. As with the decrypts the encrypted set of data with the reproduced

- transmission site to encrypt the set of data; that is, the identically to the encryptor 54 employed at the selected transmission site must implement the same encrypting convolver means 72, the decryptor 64 must function decryptor 64 and the encryptor 54 at the selected
- function. For example, if the digital encryption standard implement that same algorithm. To simplify the decrypting (DES) algorithm is implemented by the encryptor 54 at the apparatus at the reception site, the encryptors 54 at the selected transmission site, then the decryptor 64 must 10
- different encryptor employed at the various encryption sites. various transmission sites are preferably identical. Again, however, this does not have to be the case so long as a decryptor is provided at the reception site for each
- will be provided with the system key "SK" and a broadcast key "BK" that is unique to that site. For example, transmission transmission site 22, the system key 40 and unique broadcast In operation, therefore, a given transmission site broadcast key 42 (BK1) that is unique to that site 22. At site 22 will be provided with the system key "SK" and a 20
 - key 42 are convolved in a predetermined manner to generate a The encryptor 54 encrypts a The unique data encryption key is then provided via line 52 unique data encryption key for that transmission site 22. set of data 32 with the unique data encryption key. The to the encryptor 54 at site 22. 25
 - encrypted sets of data. The encrypted sets of data from each transmission site will then be combined for transmission to encrypted set of data is then transmitted to the reception site 30. Each other transmission site (e.g., sites 24 and 28) may operate in a similar manner to provide their own the reception site 30. 30

At the reception site 30, the encrypted set of data transmitted from one of the transmission sites will be

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decryption. Accordingly, the selected set of data will be received by receiver 62 and provided to the decryptor 64. for example, the encrypted set of data transmitted from selected, via line 63, for reception and subsequent

- Convolving means 72 convolves the retrieved system key 40 and transmitter location 28 may be received and provided to the the system key 40 and broadcast key 48 (i.e., "BK,") unique decryptor 64. Once the encrypted set of data is received, to transmission site 28 are retrieved from the memory 60.
- reproduce the unique data encryption key used at transmission retrieved broadcast key 48 in the same predetermined manner as convolving means 50 at transmission site 28 in order to encryption key is then provided to the decryptor 64 which site 28 to encrypt the set of data 38. The reproduced decrypts the received set of data. 10 15

transmission sites to each uniquely encrypt a respective set apparatus of the present invention allow a plurality of of data using a relatively large, unique encryption key As the foregoing illustrates, the method and

- (i.e., the unique encryption key generated by convolver means memory 60 at a particular reception site is minimized because 50). Most importantly, however, the storage capacity of the the unique encryption keys do not have to be stored; rather, the smaller broadcast keys are stored. 20
- In many applications, a reception site may only be transmission sites. According to the present invention, in such a case, only the system key and the broadcast keys of the selected group are stored in the memory 60 at the authorized to receive data from a selected group of 25
 - transmitted from those sites. Without the broadcast key of broadcast keys of the selected group of transmission sites, the decrypting apparatus is reception site. Because the memory 60 contains only the the decrypting apparatus is only able to decrypt data particular transmission site, 30
 - unable to reproduce the unique data encryption key used to encrypt data at that transmission site. Consequently, by storing only the broadcast keys of the selected group of 35

(i.e., unauthorized) sites is precluded. As those skilled in various reception sites may only store the broadcast keys of a selected group of transmission sites, system security may not be entirely compromised if a system attacker is somehow able to determine the contents of the secure memory 60 at a apparatus of the present invention. Additionally, because transmission sites, access to data transmitted from other the art will appreciate, therefore, a conditional access scheme may easily be implemented with the method and

appreciate, the keys must be changed at both the transmission Any method described above may be used To enhance system security, the system key and/or to supply reception sites and transmission sites with new periodically changed. As those skilled in the art will the broadcast key of each transmission site may be and reception sites. 15

single reception site.

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As mentioned previously, the present invention has system and broadcast keys.

individual "programmer" sites each provide program data (e.g. particular applicability to subscription television systems. "programmer" site that embodies the method and apparatus of pay television services such as movies, news services and pay-per-view events) to a plurality of system subscribers. Figure 3 is a functional block diagram of an exemplary In a subscription television system, a plurality of 20 25

As shown in Figure 3, the exemplary programmer site 100 has an encryptor 104 for encrypting program data 102 at the present invention.

a locally generated "program key" 106. Encryption of program that site. Encryptor 104 encrypts the program data 102 with data by a "programmer" prevents unauthorized access to that Digital Services" (Attorney Docket SA-0019A), cryptographic entitled "System and Method for Transmitting a Plurality of programmer's data. As described in co-pending U.S. patent application serial no. 07/968,846, filed October 30, 1992, 30 35

intervals. Preferably, the program key is changed several

strength is enhanced by changing the program key at fixed

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times a second. Consequently, a continuous stream of program keys is generated locally at the programmer site. As with any key-based encryption scheme, decryption can only take place if a decrypting apparatus is supplied with the same

- the program keys used to encrypt its respective program data to any location that may desire to decrypt the program data. subscription television system, each programmer must supply A preferable method of supplying remote locations with the program keys used to encrypt the data. Accordingly, in a
- the data those keys were used to encrypt and then to transmit necessary program keys is to multiplex the program keys with Such a method is described in great the multiplexed program keys and encrypted program data to detail in the aforementioned co-pending U.S. patent the remote locations. 07
- during transmission. A programmer site, therefore, must also application entitled "System and Method for Transmitting a compromised, however, if the program keys are intercepted Plurality of Digital Services." System security will be encrypt the transmitted program keys. In a subscription 15
 - television system comprising a plurality of programmer sites, each programmer site typically wants to uniquely encrypt the program keys transmitted from that site. The method and apparatus of the present invention is particularly well suited for encrypting these program keys. 20
- site in the system is provided with a broadcast key unique to applied in a subscription television system, each programmer broadcast key. Some subscription television systems contain key and/or broadcast keys may be provided to each programmer that programmer site and a system key that is the same for a national control center, and in such systems, the system all sites. Any method may be employed for providing each programmer site with the system key and its respective In accordance with the present invention, as site via satellite from the national control center. 30 25
- respective broadcast key locally. Alternatively, the system key and/or broadcast keys could be delivered via any postal Preferably, however, each programmer will generate its 35

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and the broadcast key unique to the exemplary programmer site cover all such possibilities. In Figure 3, the system key In the claims, the term "providing" is meant to 100 are shown at blocks 108 and 110 respectively. service.

- means 50 of Figure 3 may be identical to the convolving means provided at the programmer site 100 for convolving the system generate a unique encryption key for that site. Convolving key 108 with the broadcast key 110 unique to that site to As shown in Figure 3, convolving means 50 is 'n
- operates to encrypt the program keys 106 locally generated at 50 of Figure 2. The unique encryption key generated by the encryptor 54 via line 52. Encryptor 54 of Figure 3 may be identical to the encryptors 54 of Figure 2. Encryptor 54 convolving means 50 at programmer site 100 is fed to an 10
 - encrypted program data at another input. Multiplexer 112 multiplexes the program keys and program data in any well generated by the convolving means 50. A multiplexer 112 accepts the encrypted program keys at one input and the the programmer site 100 with the unique encryption key 15
 - multiplexing the encrypted program keys and program data for transmission to a reception site may be found in co-pending U.S. Patent application serial no. 07/968,846 filed October 30, 1992, entitled "System and Method for Transmitting a known method, and transmits the multiplexed data to a reception site. As mentioned, a preferred method of 20 25
- Plurality of Digital Services" (Attorney Docket No. SA-
- via a satellite to various reception sites. As those skilled programmer site typically will be transmitted from each site multiplexed program keys and program data generated at each In a subscription television system that employs television system may be a cable head-end installation, a the method and apparatus of the present invention, the cable subscriber or a direct broadcast satellite (DBS) in the art know, a reception site in a subscription 30 35

illustrating the details of a subscription television system

subscriber. Figure 4 is a functional block diagram

retrieving the system key 108 and the broadcast key unique to

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As shown, (e.g. site 100 of Figure 3) are received at a receiver 62. transmitted from each of a plurality of programmer sites encrypted program data and respective program keys reception site embodying the present invention.

- Typically, the data transmitted from each programmer site is carrier frequency for receiving the program data and program frequency. In response to a selection signal provided on line 63, the receiver 62 will "tune" to an appropriate provided at the reception site on a distinct carrier
- keys transmitted from a selected one of the programmer sites. multiplexed format. Consequently, as shown in Figure 4, the data received from the selected programmer site is supplied As explained above, the program data and program keys transmitted from a given programmer site will be in a 20
- line 120 and the received encrypted program keys are provided to a demultiplexer 116. After demultiplexing, the received encrypted program data is provided to a decryptor 122 via to a decryptor 64. 15
- (e.g., keys 110, 110' and 110''). Any method may be employed for supplying the memory 60 with the system key and broadcast A memory 60 at the reception site stores the system key 108 and the broadcast key unique to each programmer site keys. For example, the system key and broadcast keys may be pre-stored in the memory 60. Alternatively, the system key 20
 - programmer site. In such cases, an individual programmer may and/or broadcast keys may be transmitted to reception sites the broadcast keys preferably are generated locally at each from a remote location, such as a national control center, for automatic insertion into the memory 60. As mentioned, 25
 - proadcast key to the reception site for automatic insertion site for insertion into the memory 60 at that site by, for provide its respective broadcast key to a given reception example, performing a field installation at the reception site or alternatively, by transmitting its respective 30
- Still referring to Figure 4, means are provided for into the memory 60.

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the selected transmission site (e.g., broadcast key 110, 110' or 110'') from the memory 60. Any suitable retrieval means may be employed, such as, for example, direct memory addressing. In Figure 4, the retrieving means is functionally depicted as an N-to-1 multiplexer 66 which accepts the user's selection via line 63 and outputs the

appropriate broadcast key on line 68.

The retrieved system key and retrieved broadcast key are supplied to convolver means 72 via lines 70 and 68, 10 respectively. Convolver means 72 convolves the retrieved system key and retrieved broadcast key to reproduce the unique encryption key employed at the selected programmer site to encrypt the received program keys. Convolver means 72 must, of course, function in the same predetermined manner

as the convolver means 50 at the selected programmer site.

Decryptor 64 accepts the received encrypted program keys and the reproduced encryption key at respective inputs and decrypts the encrypted program keys with the reproduced encryption key. As with the convolver means 72, the

20 decryptor 64 must function identically to the encryptor 54 employed at the selected programmer site; that is, the decryptor 64 and the encryptor 54 at the selected programmer site must implement the same encrypting function. The decrypted program keys are output via line 76 to decryptor 25 122. With the decrypted program keys, decryptor 122 is able to decrypt the received encrypted program data. The decrypted program data is then output via line 124 for use at the reception site as desired.

Figure 5 illustrates an alternate embodiment 30 of the present invention wherein the methods and apparatus described above are employed at a single transmission site 130 to enable a plurality N of data sets 132, 134, 138 at that transmission site 130 to be uniquely encrypted.

According to this alternate embodiment, each data set 132, 3134, 138 at the transmission site 130 is provided with a

respective broadcast key 142, 144, 148 that is unique to that

A system key 140 is also provided that is common

data set.

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to all data sets 132, 134, 138. The system key "SK" comprises a first number of bits, S, and each broadcast key "BK" comprises a second number of bits, B. According to an important aspect of the present embodiment, B is less than S.

Any means previously mentioned may be employed for providing the transmission site 130 with the system key 140 and broadcast keys 142, 144, 148. In the claims, the term "providing" has been used to cover all such possibilities. For each data set 132, 134, 138, convolving means 150 are

10 provided for convolving the system key 140 and the broadcast key unique to that data set in a predetermined manner to generate a unique data encryption key for that data set. Convolving means 150 may function identically to convolving means 50 of Figures 2 and 3. The unique data encryption keys

ls generated for each data set 132, 134, 138 comprise a third number E of bits. Preferably, E is greater than or equal to S. As mentioned in the background, with larger encryption keys, greater "randomness" can be achieved during encryption of a clear data stream thereby increasing the cryptographic

20 strength of the system. As those skilled in the art will appreciate, convolving the system key with a unique broadcast key for each data set provides each data set with a unique encryption key that is larger than the unique broadcast key alone. As will be described hereinafter, according to the

25 present embodiment, the system key and the broadcast keys are stored in a memory at a reception site for decryption purposes. The memory capacity necessary to store the system key and each of the broadcast keys at the reception site is no greater than $((N \times B) + S)$.

Preferably, the convolving means 150 are identical, that is, they each convolve the system key with a respective broadcast key in the same pre-determined manner. The unique data encryption key generated by each convolving means 150 is fed to a respective encryptor 154. Bach encryptor 154

35 encrypts its respective data set 132, 134, 138 with the unique data encryption key generated for that data set. The encryptors 154 may be identical to the encryptors 54 of

Preferably, the encryptors 154 each function skilled in the art know, there are many ways to implement a accordance with the same encryption algorithm. As those in the same manner; that is they each encrypt data in Figure 2 and 3.

- may be a stream cipher, such as encryptor 12 of Figure 1. In by those skilled in the art that whatever encryption function Encryption Standard (DES) algorithm. It will be appreciated key-based encryptor, and the encryptors 154 are not limited such case, the pseudorandom bit generator in each encryptor to any one implementation. For example, each encryptor 154 the encryptors 154 implement, each encryptor 154 defines a 154 preferably will be identical. Alternatively, the encryptors 154 may implement the well known Digital 10
- data sets output from each encryptor 154 and to transmit the combined data to a reception site, such as reception site 30 Combiner/transmitter 156 operates to combine the encrypted Still referring to Figure 5, the output of each encryptor 154 is coupled to a combiner/transmitter 156. one-way function. 15
 - frequency-division multiplexing. Alternatively, combiner 156 encrypted sets of data in any manner suitable for a given may combine the encrypted data sets using a time-division appreciate, the function of combiner/transmitter 156 will of Figure 1. Combiner/transmitter 156 may combine the multiplexing scheme. As those skilled in the art will application. For example, combiner 156 may perform depend upon a particular application of the present 20 25

invention.

As the foregoing illustrates, the alternate

a means for uniquely encrypting a plurality of data sets at a As those skilled in the art will appreciate, reception is transmitted from each of a plurality of transmission sites transmitter location 130 of Figure 5. Whether encrypted data single transmission location for transmission to a reception site 30 of Figure 1 may be employed to receive and decrypt a selected one of the encrypted data sets transmitted from the embodiment of the present invention described above provides 30 35

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Figure 5), the reception site 30 of Figure 1 operates in the (as in Figure 1) or a plurality of encrypted data sets are each transmitted from a single transmission site (as in same manner.

that changes could be made to the embodiments described above scope and spirit of the invention as defined by the appended without departing from the broad inventive concepts thereof. It will be appreciated by those skilled in the art intended to cover all modifications which are within the It is understood, therefore, that this invention is not limited to the particular embodiments disclosed, but is ហ 2

claims.

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WHAT IS CLAIMED IS:

- 1. A method for uniquely encrypting a set of data at each of a plurality N of transmission sites for transmission to and subsequent decryption at at least one reception site comprising the steps of:
- (a) providing each transmission site with a broadcast key unique to that transmission site and a system key that is the same for all transmission sites, said system key comprising a plurality S of bits and each of said broadcast keys comprising a unique plurality B of bits,
 - 10 broadcast keys comprising a unique plurality B of bits, wherein B is less than S; (b) convolving in a predetermined manner at each
- (b) convolving in a predetermined manner at each transmission site the system key and the broadcast key unique to that transmission site to generate a unique data encryption key for that transmission site, said unique

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- encryption key comprising a third number E of bits, E being at least greater than B;,
 (c) encrypting a set of data at each transmission
- (c) encrypting a set of data at each transmission, site with the unique data encryption key generated at that 20 site; and
- encrypted at each transmission site to the reception site, and wherein there is stored, in a memory at the reception site, the system key and each of said broadcast keys to site, the system key and each of said broadcast keys to enable a selected one of said encrypted sets of data to be decrypted at the reception site, the memory capacity necessary to store the system key and the broadcast keys at the reception site being no greater than ((N x B) + S) bits.
- The method recited in claim 1 wherein the following steps are performed at the reception site:
- (e) receiving the encrypted set of data transmitted from a selected one of the transmission sites;
 - (f) retrieving from the memory at the reception site the system key and the broadcast key unique to the selected transmission site;

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(g) convolving the retrieved system key and retrieved broadcast key in said predetermined manner to reproduce the unique data encryption key employed in step (c) to encrypt the received set of data, and

(h) decrypting the received set of data with the reproduced encryption key.

- 3: The method recited in claim 1 where...n the reception site is authorized to receive data from only a selected group of transmission sites and wherein the system
- 10 key and only the broadcast keys of the selected group are stored in the memory at the reception site.
- greater than or equal to S.

 5. The method recited in claim 1 compr.sing the

The method recited in claim 1 where..n E is

- 15 further step of periodically changing the system Fey provided to each transmission site and stored in the memory at the reception site.
- 6. The method recited in claim 1 compr..sing the further step of periodically changing the unique kroadcast key provided to each transmission site and stored in the memory at the reception site.

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- 7. The method recited in claim 1 where..n said transmission sites comprise programmer sites in a subscription television system that each transmit program
- Subscription television system that each transmit program 25 data and encrypt the program data with locally gererated program keys, and wherein the set of data encrypted in step (c) comprises said program keys.
- 8. The method recited in claim 7 where: n the reception site is one of a cable head-end installation and a 30 subscription television system subscriber location.

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- site wherein there is a system key common to all transmission plurality N of transmission sites and at least one reception sites and a broadcast key unique to each site, and wherein In a data transmission system comprising a
 - wherein B is less than S, a method for uniquely encrypting a said system key comprises a first number S of bits and each set of data at a transmission site comprising the steps of: of said broadcast keys comprises a second number B of bits
- providing at the transmission site the system key and the broadcast key unique to that site;

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- key comprising a third number E of bits wherein E is at least key unique to that site to generate a unique data encryption key for that transmission site, said unique data encryption (b) convolving the system key and the broadcast
- encrypting a set of data at the transmission site with the unique data encryption key generated at that transmission site; and

greater than B;

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- transmitting the set of data uniquely **g**
- encrypted set of data transmitted from a selected one of said transmission sites to be decrypted at the reception site, the encrypted at the transmission site to the reception site, and the system key and each of said broadcast keys to enable an wherein there is stored, in a memory at the reception site, broadcast keys at the reception site being no greater than memory capacity necessary to store the system key and the 20 25
 - $((N \times B) + S)$ bits.
- selected group of transmission sites and wherein the system key and only the broadcast keys of the selected group are reception site is authorized to receive data from only a 10. The method recited in claim 9 wherein the stored in the memory at the reception site. 30
- 11. The method recited in claim 9 wherein E is greater than or equal to S.

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system key provided at the transmission site and stored in the memory at the reception site is periodically changed. 12. The method recited in claim 9 wherein the

- unique broadcast key provided at the transmission site and stored in the memory at the reception site is periodically 13. The method recited in claim 9 wherein the changed.
- 14. The method recited in claim 9 wherein said transmission site comprises a programmer site in a
- the set of data encrypted in step (c) comprises the locally subscription television system that transmits program data encrypted with lowally generated program keys, and wherein generated program keys. 10
- reception site is one of a cable head-end installation and a 15. The method recited in claim 9 wherein the subscription television system subscriber location. 15
- plurality N of transmission sites and at least one reception 16. In a data transmission system comprising a site wherein each transmission site is provided with a
 - key that is the same for all transmission sites, said system broadcast key unique to that transmission site and a system broadcast keys comprising a second number B of bits where B key comprising a first number S of bits and each of said is less than S, and wherein at each transmission site the 20
- convolved in a predetermined manner to generate a unique data encryption key, the unique data encryption key generated at each site being then used at that site to encrypt a set of system key and the broadcast key unique to that site are data for transmission to the reception site, and further 25
 - the system key and each of said broadcast keys, a method for wherein there is stored, in a memory at the reception site, decrypting at the reception site an encrypted set of data 30

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transmitted from a selected one of said transmission sites comprising the steps of:

- (a) receiving the encrypted set of data transmitted from the selected one of the transmission sites;
 - (b) retrieving from the memory at the reception site the system key and the broadcast key unique to the selected transmission site;
- (c) convolving the retrieved system key and retrieved broadcast key in said predetermined manner to reproduce the unique data encryption key generated at the selected transmission site; and

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(d) decrypting the encrypted data with the reproduced encryption key, whereby the memory capacity necessary to store the system key and the broadcast keys at the reception site is no greater than ((N x B) + $\rm S$) bits.

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- reception site is authorized to receive data from only a selected group of transmission sites and wherein the system key and only the broadcast keys of the selected group are stored in the memory at the reception site.
- 18. The method recited in claim 16 wherein the unique data encryption key generated at each transmission site comprises a third number E of bits, and wherein E is greater than or equal to S.
- system key provided at the transmission site and stored in the memory at the reception site is periodically changed.
- 20. The method recited in claim 16 wherein the unique broadcast key provided to each transmission site and 30 stored in the memory at the reception site is periodically

changed.

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21. A method for uniquely encrypting each of a plurality N of data sets at a transmission site for transmission to at least one reception site, said method comprising the steps of:

- that is unique to that data set and a system key that is the same for each data set, said system key comprising a first number S of bits and each of said broadcast keys comprising a second number B of bits, wherein B is less than S;
- data set, the system key and the broadcast key unique to that data set to generate a unique data encryption key for that data set, the unique data encryption key comprising a third number E of bits, E being at least greater than B;
- 15 (c) encrypting each data set with the unique data encryption key generated for that set; and
- (d) transmitting each uniquely encrypted data set to the reception site, and wherein there is stored, in a memory at the reception site, the system key and each of said threatest keys to enable a selected one of each anomals.
 - 20 broadcast keys to enable a selected one of said encrypted data sets to be decrypted at the reception site, the memory capacity necessary to store the system key and the broadcast keys at the reception site being no greater than ((N x B) + S) bits.
- 25 22. The method recited in claim 21 wherein the reception site is authorized to receive only a selected group of data sets and wherein the system key and only the broadcast keys for the selected group are stored in the memory at the reception site.
- 30 23. The method recited in claim 21 wherein E is greater than or equal to S.
- 24. The method recited in claim 21 comprising the further step of periodically changing the system key.

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25. The method recited in claim 21 comprising the further step of periodically changing the unique broadcast keys provided for each data set and stored at the reception site.

- a plurality N of data sets is uniquely encrypted at a transmission site by (a) providing a broadcast key for each data set that is unique to that data set and a system key that is the same for each data set, said system key
- 10 comprising a first number S of bits and each of said broadcast keys comprising a second number B of bits where B is less than S, (b) convolving in a predetermined manner, for each data set, the system key and the broadcast key unique to that data set to generate a unique data encryption key for
 - unique data encryption key generated for that set, and wherein each of the uniquely encrypted data sets is then transmitted to at least one reception site, and further wherein there is stored, in a memory at the reception site, 20 the system key and each of said broadcast keys, a method for decrypting a selected one of said uniquely encrypted data
- (a) receiving the selected encrypted data set at the reception site; $\hfill \hfill \$

sets at the reception site comprising the steps of:

- 25 (b) retrieving from the memory at the reception site the system key and the broadcast key unique to the selected data set;
- (c) convolving the retrieved system key and retrieved broadcast key in said predetermined manner to 30 reproduce the unique data encryption key generated at the transmission site; and
- (d) decrypting the encrypted data set with the reproduced encryption key, whereby the memory capacity necessary to store the system key and the broadcast keys at the reception site is no greater than ((N \times B) + S) bits.

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27. The method recited in claim 26 wherein the reception site is authorized to receive only a selected group of data sets and wherein the system key and only the broadcast keys for the selected group of data sets are stored in the memory at the reception site.

28. The method recited in claim 26 wherein the unique data encryption key generated for each data set comprises a third number E of bits, and wherein E is greater than or equal to S.

10 29. The method recited in claim 26 comprising the further step of periodically changing the system key. 30. The method recited in claim 26 comprising the further step of periodically changing the unique broadcast keys provided for each data set and stored at the reception 15 site. 31. In a pay television system wherein a plurality N of programmer sites each transmit program data to at least one reception site, and wherein each programmer encrypts the program data transmitted from that site with locally

20 generated program keys, the program keys being transmitted by each programmer to the reception site along with the encrypted program data, and wherein there is a broadcast key unique to each programmer site and a system key that is the same for all programmer sites, said system key comprising a

comprising a second number B of bits where B is less than S, a method for uniquely encrypting the program keys at a programmer site for transmission to the reception site comprising the steps of:

30 (a) providing at the programmer site the system key and the broadcast key unique to that programmer site;

(b) convolving, in a predetermined manner, the system key and the broadcast key for that programmer site to

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generate a unique encryption key for that programmer site the unique encryption key comprising a third number E of bits, E being at least greater than B;

- encrypting the program keys with the unique 5 encryption key generated at that programmer site; and
- (d) transmitting the encrypted program keys to the keys to enable the encrypted program keys transmitted from a selected one of said programmer sites to be decrypted at the store the system key and the broadcast keys at the reception reception site, the system key and each of said broadcast reception site, whereby the memory capacity necessary to reception site, there being stored, in a memory at the site being no greater than $((N \times B) + S)$ bits. 10
- broadcast keys of the selected group are stored in the memory The method recited in claim 31 wherein the 15 reception site is authorized to receive program data and programmer sites and wherein the system key and only the respective program keys from only a selected group of at the reception site.
- The method recited in claim 31 wherein E is greater than or equal to S. 33. 20
- further step of periodically changing the system key provided 34. The method recited in claim 31 comprising the at the programmer site and stored in the memory at the
 - reception site. 25
- key provided at the programmer site and stored in the memory 35. The method recited in claim 31 comprising the further step of periodically changing the unique broadcast. at the reception site.
- 36. In a pay television system wherein a plurality N of programmer sites each transmit program data to at least one reception site, and wherein each programmer encrypts the 30

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generated program keys, and wherein there is a broadcast key same for all programmer sites, said system key comprising a unique to each programmer site and a system key that is the program data transmitted from that site with locally

- comprising a second number B of bits where B is less than S, programmer site the system key and the broadcast key unique and wherein the program keys locally generated at each first number S of bits and each of said broadcast keys programmer site are encrypted by (a) providing at the
- programmer site to generate a unique encryption key for that programmer site, and (c) encrypting the program keys at that programmer site with the unique encryption key generated at to that programmer site, (b) convolving, in a predetermined manner, the system key and the broadcast key for that 10
- stored, in a memory at the reception site, the system key and each of said broadcast keys, a method for decrypting, at the that programmer site, and wherein each programmer transmits its respective encrypted program keys and encrypted program data to the reception site, and further wherein there is 15
- reception site, the program keys and program data transmitted from a selected one of the programmer sites comprising the 20

(a) receiving the encrypted program data and the

- encrypted program keys transmitted from the selected programmer site; 25
- (b) retrieving from the memory at the reception site the system key and the broadcast key unique to the selected programmer site;
 - (c) convolving the retrieved system key and
- reproduce the unique encryption key generated at the selected retrieved broadcast key in said predetermined manner to programmer site; 30
 - (d) decrypting the encrypted program keys with the reproduced encryption key; and
- decrypted program keys, whereby the memory capacity necessary (e) decrypting the encrypted program data with the 35

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to store the system key and the broadcast keys at the reception site is no greater than $((N\times B)+S)$ bits.

- 37. A data transmission system comprising a
- plurality N of transmission sites that each transmit a set of data to at least one reception site, there being a system key common to all transmission sites and a broadcast key unique to each site, said system key comprising a first number S of bits and each of said broadcast keys comprising a second number B of bits wherein B is less than S, each of said
- 10 transmission sites having an encrypting apparatus comprising means for convolving, in a predetermined manner, the system key and the broadcast key unique to that

transmission site to generate a unique data encryption key

for that site;

- an encryptor for encrypting a set of data with the unique data encryption key; and
- means for transmitting the encrypted set of data.to the reception site, said reception site having a decrypting apparatus comprising:
- o a memory for storing the system key and each of said broadcast keys, the storage capacity of the memory necessary to store the system key and each of the broadcast keys being no greater than ((N x B) + s) bits;

means for receiving an encrypted set of data

- transmitted from a selected one of said transmission sites, means coupled to the memory for retrieving from the memory the system key and the broadcast key unique to the selected transmission site and for convolving the retrieved system key and the retrieved broadcast key in said
- 30 predetermined manner to reproduce the unique data encryption key generated at the selected transmission site; and a decryptor that decrypts the received set of data

with the reproduced encryption key.

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38. The system recited in claim 37 wherein said unique data encryption key comprises a third number E of bits, and wherein E is greater than or equal to S.

- 39. The system recited in claim 37 further
- 5 comprising means for periodically changing the system key provided to each transmission site and stored in the memory at the reception site.
- 40. The system recited in claim 37 further comprising means for periodically changing the unique
- 10 broadcast key provided to each transmission site and stored in the memory at the reception site.
- 41. Encrypting apparatus for use in a data transmission system comprising a plurality N of transmission sites and at least one reception site wherein there is a
 - 15 system key common to all transmission sites and a broadcast key unique to each transmission site, said system key comprising a first number S of bits and each of said broadcast keys comprising a second number B of bits wherein is less than S, said encrypting apparatus for use at one of said transmission sites and comprising:
- means for convolving, in a predetermined manner, the system key and the broadcast key unique to that transmission site to generate a unique data encryption key for that site;
- an encryptor for encrypting a set of data with the unique data encryption key; and
- means for transmitting the encrypted set of data to the reception site, and wherein there is stored, in a memory at the reception site, the system key and each of said
- 30 broadcast keys to enable an encrypted set of data transmitted from a selected one of said transmission sites to be decrypted at the reception site, the memory capacity necessary to store the system key and the broadcast keys at the reception site being no greater than ((N,x,B)+S) bits.

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42. Decrypting apparatus for use in a data transmission system comprising a plurality N of transmission sites and at least one reception site wherein each transmission site is provided with a broadcast key unique to

- transmission site is provided with a broadcast key unique to 5 that transmission site and a system key that is the same for all transmission sites, said system key comprising a first number S of bits and each of said broadcast keys comprising a second number B of bits where B is less than S, and wherein at each transmission site the system key and the broadcast
- 10 key unique to that site are convolved in a predetermined manner to generate a unique data encryption key, the unique data encryption key generated at each site being then used at that site to encrypt a set of data for transmission to the reception site, said decrypting apparatus for use at the 15 reception site and comprising:
- a memory for storing the system key and each of said broadcast keys, the storage capacity of the memory necessary to store the system key and the broadcast keys being no greater than ((N x B) + S) bits;
- selected one of said transmission sites;

 means for retrieving from the memory the system key

means for retrieving from the memory the system key and the broadcast key of the selected transmission site from said memory;

- means for convolving the retrieved system key and the retrieved broadcast key in said predetermined manner to reproduce the unique data encryption key generated at the selected transmission site for encrypting the received set of data, and
- 30 a decryptor for decrypting the received set of data with the reproduced encryption key.
- 43. Apparatus for uniquely encrypting a plurality N of data sets at a transmission site for transmission to at least one reception site wherein there is provided at the 35 transmission site a broadcast key for each data set that is

unique to that data set and a system key that is the same for

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each data set, said system key comprising a first number S of bits and each of said broadcast keys comprising a second number B of bits, B being less than S, said apparatus comprising:

means for convolving, in a predetermined manner, the system key and the broadcast key of a selected data set to generate a unique data encryption key for that data set; an encryptor for encrypting a data set with the

unique data encryption key generated for that data set; and

means for transmitting an encrypted data set to the
reception site, and wherein there is stored, in a memory at
the reception site, the system key and each of said broadcast
keys to enable a data set transmitted by said apparatus to be
decrypted at the reception site, the memory capacity

the reception site being no greater than ((N x B) + S) bits.

44. Apparatus recited in claim 43 wherein said unique data encryption key comprises a third number E of bits, and wherein E is greater than or equal to S.

transmission system comprising a transmission site and at least one reception site wherein each of a plurality N of data sets is uniquely encrypted at the transmission site by (a) providing a broadcast key for each data set that is

25 unique to that data set and a system key that is the same for each data set, said system key comprising a first number S of bits and each of said broadcast keys comprising a second number B of bits where B is less than S, (b) convolving in a predetermined manner, for each data set, the system key and the broadcast key unique to that data set to generate a unique data encryption key for that data set, and (c)

encrypting each data set with the unique data encryption key

generated for that set, and wherein each of the uniquely encrypted data sets is then transmitted to the reception

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site, said decrypting apparatus for use at the reception site and comprising:

a memory for storing the system key and each of said broadcast keys, the storage capacity of the memory necessary to store the system key and the broadcast keys being no greater than ((N x B) + S) bits;

means for receiving a selected one of the encrypted data sets transmitted from the transmission site;

means for retrieving from the memory the system key and the broadcast key unique to the selected data set;

means for convolving the retrieved system key and the retrieved broadcast key in said predetermined manner to reproduce the unique data encryption key generated at the transmission site for encrypting the received selected data 15 set; and

a decryptor for decrypting the received data set with the reproduced encryption key.

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[received by the International Bureau on 22 April 1994 (22.04.94); original claims 1.9.21.31.41 and 43 amended; remaining claims unchanged (11 pages)]

- 1. A method for uniquely encrypting a set of data at each of a plurality N of transmission sites for transmission to and subsequent decryption at at least one
 - reception site comprising the steps of:
- (a) providing each transmission site with a broadcast key unique to that transmission site and a system key that is the same for all transmission sites, said system key comprising a plurality S of bits and each of said
 - 10 broadcast keys comprising a unique plurality B of bits, wherein B is less than S;
- (b) convolving in a predetermined manner at each transmission site the system key and the broadcast key unique to that transmission site to generate a unique data
 - 15 encryption key for that transmission site, said unique encryption key comprising a third number E of bits, E being at least greater than B;
- (c) encrypting a set of data at each transmission site with the unique data encryption key generated at that 20 site; and
 - (d) transmitting the sets of data uniquely encrypted at each transmission site to the reception site; wherein there is stored, in a memory at the
- reception site, the system key and each of said broadcast

 25 keys for regenerating at the reception site, for the purpose
 of decrypting any one of said encrypted sets of data, the
 unique data encryption keys used to encrypt said sets of data
 at each respective transmission site, the memory capacity
 necessary to store the system key and the broadcast keys at
 the reception site being no greater than ('N x B) + S) bits.
- The method recited in claim : wherein the following steps are performed at the reception site:
 receiving the encrypted set of data transmitted from a selected one of the transmission sites;

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retrieving from the memory at the reception site the system key and the broadcast key unique to the selected transmission site; (£)

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site wherein there is a system key common to all transmission plurality N of transmission sites and at least one reception sites and a broadcast key unique to each site, and wherein In a data transmission system comprising a

- wherein B is less than S, a method for uniquely encrypting a (a) providing at the transmission site the system said system key comprises a first number S of bits and each set of data at a transmission site comprising the steps of: of said broadcast keys comprises a second number B of bits
 - 10 key and the broadcast key unique to that site;
- key comprising a third number E of bits wherein E is at least key unique to that site to generate a unique data encryption key for that transmission site, said unique data encryption (b) convolving the system key and the broadcast
 - greater than B; 15
- (c) encrypting a set of data at the transmission site with the unique data encryption key generated at that transmission site; and
 - transmitting the set of data uniquely (g
- keys for regenerating at the reception site, for the purpose reception site, the system key and each of said broadcast of decrypting any one of said encrypted sets of data, the 20 encrypted at the transmission site to the reception site; wherein there is stored, in a memory at the
- unique data encryption keys used to encrypt said sets of data the reception site being no greater than ((N imes B) + S) bits. necessary to store the system key and the broadcast keys at at each respective transmission site, the memory capacity 25
- selected group of transmission sites and wherein the system key and only the broadcast keys of the selected group are reception site is authorized to receive data from only a 10. The method recited in claim 9 wherein the stored in the memory at the reception site. 30
- 11. The method recited in claim 9 wherein E is greater than or equal to S. 35

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21. A nethod for uniquely encrypting each of a transmission to it least one reception site, said method plurality N of data sets at a transmission site for

comprising the s:eps of:

- number S of bits and each of said broadcast keys comprising a that is unique to that data set and a system key that is the same for each data set, said system key comprising a first (a) providing a broadcast key for each data set second number B of bits, wherein B is less than S;
- data set, the system key and the broadcast key unique to that (b) convolving in a predetermined manner, for each data set, the un.que data encryption key comprising a third data set to genewate a unique data encryption key for that number E of bits E being at least greater than B; 50
- (c) entrypting each data set with the unique data encryption key gomerated for that set; and
- (d) transmitting each uniquely encrypted data set to the reception site;
- keys for regenerating at the reception site, for the purpose reception site, whe system key and each of said broadcast wherein there is stored, in a memory at the
- the reception site being no greater than $((N \times B) + S)$ bits. necessary to store the system key and the broadcast keys at of data at the transmission site, the memory capacity 25

unique data encription keys used to encrypt each of said sets

of decrypting any one of said encrypted sets of data, the

- reception site is authorized to receive only a selected group 22. The method recited in claim 21 wherein the broadcast keys for the selected group are stored in the of data sets and wherein the system key and only the
- 23. The method recited in claim 21 wherein E is greater than or equal to S.

memory at the reception site.

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AMENDED SHEET (ARTICLE 19)

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24. The method recited in claim 21 comprising the PCT/US93/11654 further step of periodically changing the system key.

- encrypting the program keys with the unique encryption key generated at that programmer site; and
- one of said programmer sites, the unique data encryption keys of decrypting the encrypted program keys transmitted from any transmitting the encrypted program keys to the keys for regenerating at the reception site, for the purpose used to encrypt the program keys at each of said programmer reception site, the system key and each of said broadcast reception site, there being stored, in a memory at the

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system key and the broadcast keys at the reception site being wherein the memory capacity necessary to store the no greater than ((N x B) + S) bits. 15

- 32. The method recited in claim 31 wherein the reception site is authorized to receive program data and programmer sites and wherein the system key and only the respective program keys from only a selected group of
- broadcast keys of the selected group are stored in the memory at the reception site. 20
- 33. The method recited in claim 31 wherein E is greater than or equal to S.
- further step of periodically changing the system key provided 34. The method recited in claim 31 comprising the at the programmer site and stored in the memory at the reception site. 25
- key provided at the programmer site and stored in the memory 35. The method recited in claim 31 comprising the 30 further step of periodically changing the unique broadcast at the reception site.

AMENDED SHEET (ARTICLE 19)

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In a pay television system wherein a plurality N of programmer sites each transmit program data to at least one reception site, and wherein each programmer encrypts the

- provided to each transmission site and stored in the memory 5 comprising means for periodically changing the system key 39. The system recited in claim 37 further at the reception site.
- comprising means for periodically changing the unique 40. The system recited in claim 37 further
- broadcast key provided to each transmission site and stored in the memory at the reception site. 10
- transmission system comprising a plurality N of transmission sites and at least one reception site wherein there is a 41. Encrypting apparatus for use in a data
- broadcast keys comprising a second number B of bits wherein B system key common to all transmission sites and a broadcast is less than S, said encrypting apparatus for use at one of key unique to each transmission site, said system key comprising a first number S of bits and each of said 15
- transmission site to generate a unique data encryption key means for convolving, in a predetermined manner, the system key and the broadcast key unique to that for that site;

said transmission sites and comprising:

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an encryptor for encrypting a set of data with the. unique data encryption key; and 25

means for transmitting the encrypted set of data to wherein there is stored, in a memory at the the reception site;

keys for regenerating at the reception site, for the purpose of decrypting the encrypted set of data transmitted from any one of said transmission sites, the unique data encryption reception site, the system key and each of said broadcast keys used to encrypt said sets of data at each respective 30

AMENDED SHEET (ARTICLE 19)

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transmission site, the memory capacity necessary to store the system key and the broadcast keys at the reception site being no greater than $((N \times B) + S)$ bits.

each data set, said system key comprising a first number S of bits and each of said broadcast keys comprising a second number B of bits, B being less than S, said apparatus comprising:

- unique data encryption key generated for that data set; and the system key and the broadcast key of a selected data set to generate a unique data encryption key for that data set; means for convolving, in a predetermined manner, an encryptor for encrypting a data set with the
 - means for transmitting an encrypted data set to the reception site;

transmission site, the memory capacity necessary to store the system key and the broadcast keys at the reception site being keys for regenerating at the reception site, for the purpose of decrypting the encrypted set of data transmitted from any one of said transmission sites, the unique data encryption reception site, the system key and each of said broadcast keys used to encrypt said sets of data at each respective wherein there is stored, in a memory at the no greater than $((N \times B) + S)$ bits. 15 20

- 44. Apparatus recited in claim 43 wherein said unique data encryption key comprises a third number E of bits, and wherein E is greater than or equal to S.
- unique to that data set and a system key that is the same for data sets is uniquely encrypted at the transmission site by 25 transmission system comprising a transmission site and at least one reception site wherein each of a plurality N of (a) providing a broadcast key for each data set that is 45. Decrypting apparatus for use in a data
- each data set, said system key comprising a first number S of number B of bits where B is less than S, (b) convolving in a predetermined manner, for each data set, the system key and bits and each of said broadcast keys comprising a second the broadcast key unique to that data set to generate a unique data encryption key for that data set, and (c) 30

AMENDED SHEET (ARTICLE 19)

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encrypting each data set with the unique data encryption key encrypted data sets is then transmitted to the reception generated for that set, and wherein each of the uniquely

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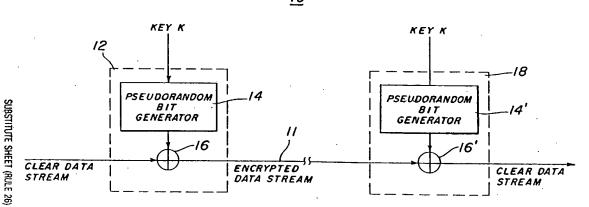
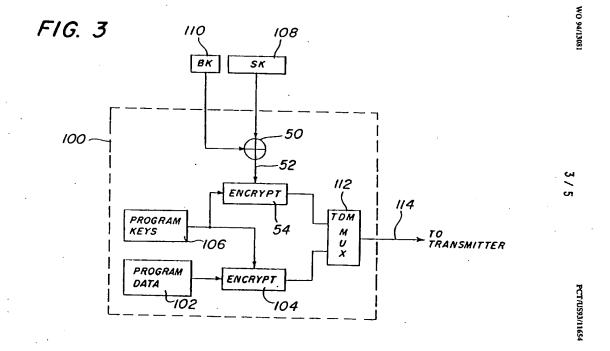


FIG. 1 (PRIOR ART)

presently pending in the U.S. Patent Application were allowed this International Application has been claimed. 07/984,461, filed December 2, 1992, from which priority in presently pending in U.S. Patent Application Serial Number to the International Searching Authority's International by Examiner Salvatore Cangialosi and a Notice of Allowance claims of this International Application to the claims As indicated in the accompanying Transmittal Letter, These amendments are being made to conform the The claims

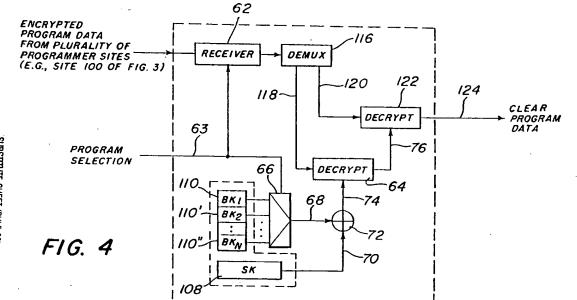


SUBSTITUTE SHEET (RULE 26)

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TO

RECEPTION SITE

FIG. 5

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TRANSMITTER

ENCRYPT

ENCRYPT

ENCRYPT



132-

SK

140

DATA

DATA2

BK

BKN

BK

142

134

144

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International application No. PCT/US93/11654	on and IPC		ymbols)		cuments are included	d, where practicable		devant passages		ST 1986,	40)	987,	RY 1989,	See patent family annex.	hest document published after the internation data and not in conflict with the application be principle or theory underlying the invention	if perticular relevance; the novel or cannot be conside coursest is taken alone.	f particular relevance; the involve an enventive ith one or more other are in the contract of	Ul to a present states us a
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INTERNATIONAL	A. CLASSIFICATION OF SUBJECT MATTER HCGS. HOAL 918 V. CL. 1807L According to International Parent Chariffeation (IPC) or to both national classification and IPC	FIRLDS SEARCHED	Minimum documentation searched (classification system followed by classification symbols)	380/20, 44, 45, 46, 50, 21	Decumentation searched other than minimum documentation to the extent that such documents are included in the fields searched	diffectionic data base consulted during the international search (name of data base and, where practicable, search terms used)	INCUMENTS CONSIDERED TO BE RELEVANT	Citation of document,	US, A, 4,531,020 (WECHSELBERGER ET 23 JULY 1985,SEE ENTIRE DOCUMENT.	US, A, 4,605,820 (CAMPBELL, JR.) 12 AUGUST 1986, SEE ENTIRE DOCUMENT.	US, A, 4,613,901 (GILHOUSEN ET AL) 23 SEPTEMBER 1986. (SEE COL. 5, LINES 15-40)	US, A, 4,634,808 (MOERDER) 06 JANUARY 1987, SEE COL. 7, LINES 15-45)	US, A, 4,803,725 (HORNE ET AL) 07 FEBRUARY 1989, SEE FIGS. 1 AND 2.	Fwither documents are listed in the continuation of Box C.	Special categories of chad document: Shummen defining the general state of the art which is not considered	curlect discurrant published on or offer the international filing date	Configuration when may be published the of another chairs or each in security or each in security can call the security of another chairs or other security and appealing).	
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Authorized officer PARKEL Lothery App R 5 1994 in a careh report Telephone No. (703) 308-0482 Name and enailing address of the ISA/US
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ŭ	C (Cantinuation). DOCUMENTS CONSIDERED TO BE RELEVANT	
J	Category* Citation of document, with indication; where appropriate, of the relevant passages	Relevant to claim No.
<	US, A, 5,029,207 (GAMMIE: 02 JULY 1991, SEE FIGS. 5 AND 8.	1-45
<u>>-</u>	US, A, 5,115,467 (ESSERMAN ET AL) 19 MAY 1992. SEE COLS. 2 AND 3.	1-45
≺	US, A, 5,144,665 (TAKARAGI ET AL) 01 SEPTEMBER 1992. SEE FIGS. 1-3.	1-45
<	US, A, 5,144,667 (POGUE, JR. ET AL.) 01 SEPTEMBER 1992 SEE FIGS. 1-3.	1-45
_<	A.P US, A, 5,237,610 (GAMMIE ET AL) 17 AUGUST 1993, SEE FIGS. 7 AND 8	1-45
_<	US, A, 5,093,860 (STEINBRENNER ET AL) 03 MARCH 1992 SEE FIG. 2.	1-45
<	US, A, 4,887,296 (HORNE) 12 DECEMBER 1989, SEE FIG.1	1-45
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